



2815

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2815

Examiner: Matthew Landau

Inventor: Ricky Amos

Serial No. 09/995,031

Filed: Nov. 29, 2001

For: High Temperature Processing Compatible Metal Gate
Electrode for FET's and Method for Fabrication

Attorney Docket No.: 64,610-062 (YOR920010633US1))

Certificate of Mailing

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop: Appeal, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: July 9, 2003

Kathy Dixon

**DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(d) (1) and (2)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In further satisfaction of our Duty of Candor, pursuant to 37 C.F.R. §1.97, the art listed and identified on the attached Form PTO-1449 is being submitted herewith for consideration by the Examiner. The possible relevance of the listed references lies in the fact that they were cited in a PCT International Search Report. A copy of U.S. Patent Nos. 6,238,933B1 and 6,512,297B2 are attached herewith. U.S. Patent Nos. 6,040,610, 6,403,997 and US2001/0032995A1 were previously cited by the Examiner. The Commissioner is authorized to charge any fees which may be required to Deposit Account No. 50-0510.

It is Applicant's opinion that the claims presently on file patentably distinguish the present invention from each of these

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S.S.N. 09/995,031

references. The above references are being cited in the interests of candor and without admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art.

Respectfully submitted,

TUNG & ASSOCIATES

By

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FORM PTO-1449 (MODIFIED)		ATTY DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		64,610-062 (Y0R920010633US1)	09/995,031
		APPLICANT Ricky Amos	
		FILING DATE Nov. 29, 2001	GROUP 2815

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB CLASS	FILING DATE
AA	6,238,933B1	05/2001	Sun et al			
AB	6,512,297B2	01/2003	Matsuno et al			
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						
AM						
AN						
AO						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
AP						
AQ						
AR						

OTHER ART (including Author, Title, Date, pertinent pages, etc.)

AS	
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.